## Review of PDT for Lung Cancer and Future

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### Lung Cancer, Present Status

Worldwide increase of cancer patients

No improvement of death rate of cancer patients

	Lung cancer patien	its Death
Europe	410,220	353,848
USA	214,226	167,545
Asia	1,045,695	936,051
Japan	94,855	75,119
		(GLOBOCAN 2012)

## Approval of PDT for Lung Cancer

1977	Dougherty	PDT of skin cancer by HpD+Argon dye laser (ADL)			
1978	Kato, Konaka, Aizawa	In vitro and experimental study of PDT by canine lung cancer model.			
1980	Kato & Hayata	Clinical application of endoscopic PDT of early lung cancer, HpD+ADL			
1984	Hayata Research Group	Fundermental, investigation and clinical reaserch PDT supported by the government.			
1986	Kato Research Group	Multi-institutional clinical researches on early stage of lung, esophagus, stomach and cervix supported by the government.			
1989	Kato et al	Multicentric phase II clinical Trial of early stage cancers of lung, esophagus, stomach and cervix.  Phtofrin+ADL or Eximer dye laser (EDL)			
1993	Jap Government approved PDT of early ca of lung, esophagus, stomach, cervix.  Photofrin+ADL, EDL				
1998	Kato & Furukawa	Multicentric phase II clinical trial for early lung cancer Lasephyrin+Diode Laser (DL)			
2002	Jap Government approved PDT of early lung cancer. Laserphyrin+DL				
2009	Jap Governmental approval for PDT of advanced lung cancer. Laserphyrin+DL				

## Multicentric Phase II Trial for Lung Cancer

#### Photofrin + Argon dye laser or Excimer dye laser PDT

June 1989~February 1992

5 institutions

54 patients with 64 stage 0/I central type lung squamous cell carcinoma

Photofrin: 2mg/kg, iv 48 hours prior to laser photoradiation

Lights: ADL (Cooper, Spectra Physics), EDL(Hamamatsu); 150J/cm2

Efficacy: Complete remission 84.5%

Toxicity: No serious adverse reactions were observed.

Skin photosensitivity: 1.9%

Furuse, K., Kato, H., et al. J Clin Oncol 11:1852-1857, 1993

Governmental approval: 1993

## Multicentric Phase II Trial for Lung Cancer

#### Laserphyrin + Diode Laser PDT

October 1997~March 2000

10 institutions

42 patients with 46 stage 0/I central type lung squamous cell carcinoma

Laserphyrin: 40mg/m2, 4 hours prior to laser, Light dosage: 100J/cm2

Efficacy: Reponse rate: 94.9%, Complete remission rate: 84.6%

Toxicity: No serious adverse drug reactions were observed.

Skin photosensitivity: Skin irritation disappeared with 2 weeks.

Kato H, Lung Cancer 42: 103-111, 2003

Governmental approval: 2002

## Extending Approvals of Laserphyrin

- Malignant Brain Tumor (Grade 3&4)
   Multicentric Phase II Clinical Trial
   (Prof. Iseki, TWMC and TMU) Approved 2013.9
- 2. Recurrent esophageal cancer after chemo-radiotherapy
  Multicentric Phase II Clinical Trial

  (Prof. Muto, Kyoto Univ.) *Approved 2015.5*

#### Current Status in Clinical Practice

#### Central type lung cancer

1. Curative treatment

Early stage (stage 0 & Cis) primary squamous cell ca. Single, Multiple

2. Palliative treatment

Advanced central type squamous cell carcinoma
Neo-adjuvant treatment for surgery
Combination with chemotheray and/or radiotherapy
QOL

## What is Early Stage Lung Cancer?

#### Our Japanese criteria since 1975

Clinically curative lung cancer Pathologically no invasion to vessels, lymph ducts and no metastasis

#### Location

1. Central bronchus (ESCLC)

Trachea – segmental bronchi

Endoscopically early stage squamous carcinoma

(1995, Japan Lung Cancer Society set a standard criteria)

WHO, UICC & IASLC Staging: Stage 0, Tis

2. Peripheral lung (ESPLC)

Subsegmental-Terminal alveolar bronchi, less than 2cm

WHO, UICC & IASLC Staging: AIS, MIA, alveolar wall, Adenocarcinoma

## What is ESCLC treated curatively by PDT?

X-ray negative

CT negative

Central type squamous cell carcinoma!

WHO, UICC & IASLC Staging: Stage 0, Tis

Endoscopic criteria (Japan Lung Cancer Society, 1995)

- 1 Bronchoscopically invisible type
- 2 Thickening type
- 3 Nodular type
- 4 Polypoid type
- 5 Mixed type

## Importance of Early Detection

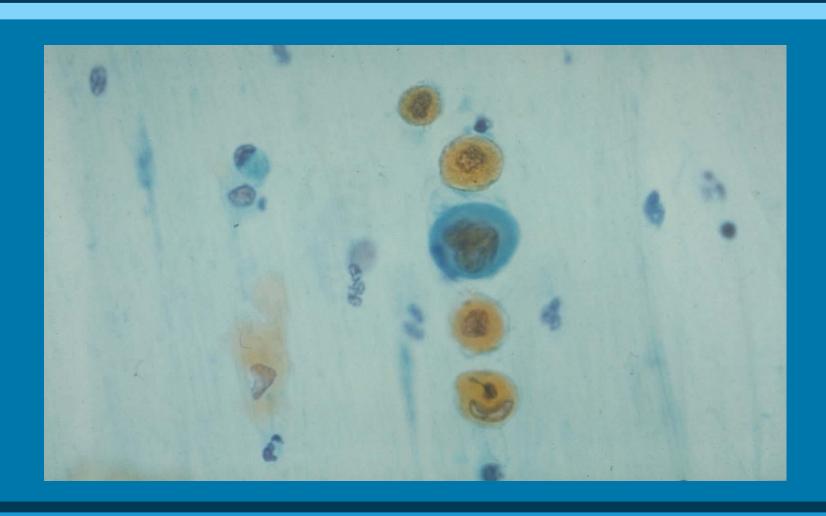
- •1988 Lung cancer screening program
- Health check examination

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For Central type
Sputum cytology (smokers)
Symptoms: sputum, bloody sputum
Bronchoscopy
Symptoms: cough, bloody sputum
For Peripheral type
CT
```

## Sputum Pooling Box for Sputum Cytology



# Squamous Cell Carcinoma in Situ, Sputum



## **Bronchoscopy**

Fiberoptic bronchoscopy

Digital bronchoscopy

Fluorescence bronchoscopy

## Digital Bronchoscopy with AFD/PDD System

AFD: Autoflurescence Diagnosis, PDD: Photodynamic Diagnosis



#### Laserphyrin

Light source: 408nm diode laser

Record AF by ultra-small CCD



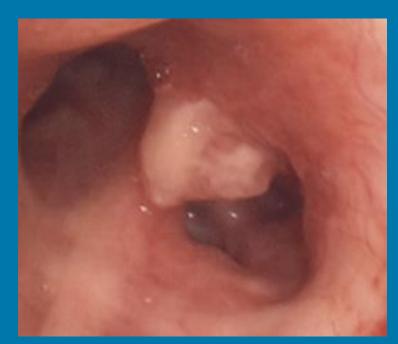
## Who are indicated for Early Detection?

High risk people to lung cancer
Positive sputum cytology
Heavy smoker
High risk occupations
COPD
Emphysema
Symptoms
Bloody sputum
Sputum or Cough
Multiple lung cancer patient
Post operative patients

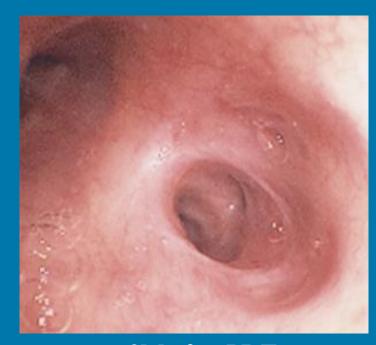
# Photodynamic Therapy



Lt B1+2, 3 (Sq.ca.) 79-year old man

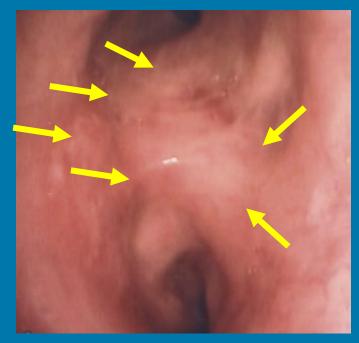


Before PDT



3M after PDT

Lt. u. br. (Sq.ca.) 68-year old man



Before PDT

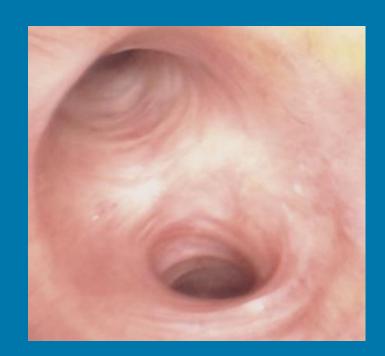


3M after PDT

Lt. u. br. (Sq.ca.) 68-year old man



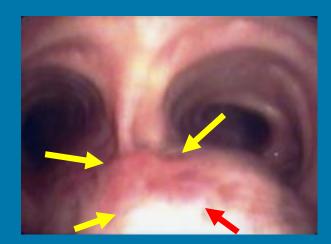
Before PDT



3M after PDT

Carina (Sq. cell carcinoma) 63-year-old man

Laserphyrin + SAFE 3000





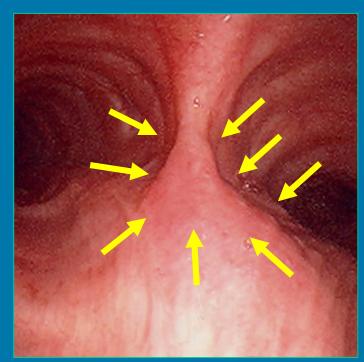


PDD

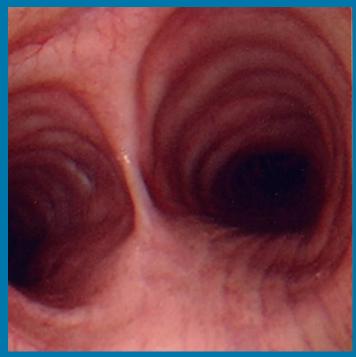


3 M after PDT

Carina (Sq.ca.) 79-year old man



Before PDT



3M after PDT

## Results of PDT for ESCLC

1980-2010

Photo	frin	$^{\mathbb{R}}vs$	Laserpl	hyrin	R
· · · · · · · · · · · · · · · · · · ·					

Furuse et al (1989-1992) Kato et al (1980-2004) Usuda et al (2002-2010)

No. 59 264 91
Photosensitizer Photofrin Photofrin(Laserphyin in 16) Laserphyrin
Size (cm) & CR (rate,%)

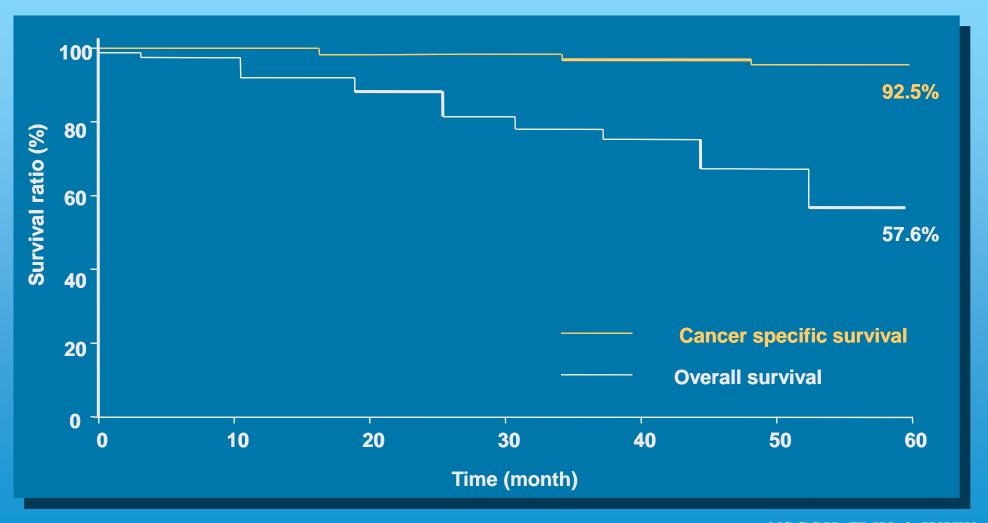
 $\leq 1.0$  97.8% 93.9% 94.0%

1.0-2.0 50.0% 80.0% **90.4%** 

2.0< 37.5% 44.1% ---

Ikeda,N,etal Laser in Surg and Med 43:749-754, 2011

## 5-Year Survival Rate, PDT in ESCLC



#### ESCLC treated with PDT

- 1. Hayata Y, Kato H (Chest, 82:10-14, 1982)
- 2. Kato H, Cortese DA (Clin Chest Med, 6:237-253, 1985)
- 3. Furuse K. (J Clin Oncol., 11:1852-1857, 1993) CR: 85% (59 lesions)
- 4. Cortese D. (Mayo Clin Proc., 72:595-602, 1997) CR: 70% (23 lesions)
- 5. Kato H. (Lung Cancer, 42: 103-111, 2003) CR: 83% (39 lesions) Phase II clinical study of PDT using mono-L-aspartyl chlorin e6 (NPe6, Laserphyrin) and diode laser
- 6. Miyazu Y. (Am J Respir Crit Care Med., 165:832-837, 2002) Before PDT, the depth of tumor invasion was estimated by EBUS (endobronchial ultrasonography)

## Guidelines of PDT for ESCLC

#### PDT with quality and safety for the patients with lung cancer

- 1. Doctor should be member of JPA, JSLSM.
  - 2. Doctor should master about photosensitizer and laser equipment.
  - 3. Doctor should have ability to diagnose early stage lung cancer.

Endoscopical early-stage lung cancer

Normal chest X-ray and CT imaging

No evidence of metastasis to lymph nodes

Peripheral margin of the tumor

Superficial tumor not more than 2.0 cm in diameter

- 4. Docotor should check the output of laser equipment.
- 5. Informed consent of PDT to patient.
- 6. Patient should put sunglasses avoiding direct sunlight.
- 7. Doctor should frequently perform bronchoscopies to remove necrosis after PDT.

## Current Status of PDT for Advanced Lung Cancer

- 1. Palliative treatment for the improvement of QOL Obstructive pneumonia or atelectasis
- 2. Chemo/radiotherapy + PDT
  - + Immunological response
- 3. Neoadjuvant PDT for surgery
  Possibility of extended surgery after PDT
  Possibility of reduction surgery after PDT

## Indication Criteria for Advanced Lung Cancer

#### **Inclusion**

- 1. Dyspnea due to stenosis or obstruction of central bronchus
- 2. Obstructive pneumonia or atelectasis
- 3. Possibility of extended surgery after PDT
- 4. Possibility of reduction of resection volume after PDT
- 5. Recurrence after radio/chemotherapy
- 6. Maintenance of QOL in combination with radio/chemotherapy
- 7. ECOG Performance Status 0-II
- 8. Adequate organ function
- 9. Life expectancy at least 12 weeks
- 10. Written informed consent

#### **Exclusion**

1. Serious complications

# New Strategy for Early Stage Peripheral Lung Cancer (ESPLC)

## New Strategy for ESPLC

Increase of adenocarcinoma
Increase of multiple primary lung cancers

Carcinogenetic process of adenocarcinoma Definitive diagnosis of GGO shadows

Non-invasive treatment of AIS and MIA lesions? Invasive treatment of LPA?

GGO: ground glass opacity, AIS: Adenocarcinoma in situ

MIA: Minimal invasive adenocarcinoma

LPA: Lepidic predominant adenocarcinoma

## Early Stage Peripheral Type Lung Cancer (ESPLC)

сТ	CT image on HRCT						
	Solid part	0cm	0cm	≤0.5cm†	0.6-1.0cm†	1.1-2.0cm†	2.1-3.0cm†
	Total tumor size including GG	≤0.5cm	0.6-3.0cm <b>‡</b> ‡	≤3.0cm <b>‡</b> ‡	0.6−3.0cm <del>  </del>	1.1−3.0cm <del>  </del>	2.1−3.0cm <del>  </del>
	Pathologic Differential Diagnosis	AAH‡, AIS, MIA	AIS , MIA , LPA	MIA , LPA , AIS	LPA , Invasive AD , MIA	LPA , Invasive , AD	Invasive , AD
	Clinical stage		cTis <del>‡‡</del>	cT1mi <b>‡‡</b>	cT1a	cT1b	cT1c
рТ	Invasive part	0cm	0cm	≤0.5cm‡‡	0.6-1.0cm†	1.1-2.0cm†	2.1-3.0cm†
	Total tumor size including lepidic growth part	Usually ≤0.5cm‡	≤3.0cm‡‡	≤3.0cm <b>‡</b> ‡	0.6−3.0cm <del>  </del>	1.1−3.0cm <del>  </del>	2.1−3.0cm <del>ll</del>
	Pathology	ААН	AIS	MIA	Lepidic predominant AD or Inasive AD with lepidic component	Invasive AD with a lepidic componemt or lepidic predominant AD	Invasive AD with
	Pathologic stage		pTis‡‡	pT1mi‡‡	pT1a	pT1b	pT1c

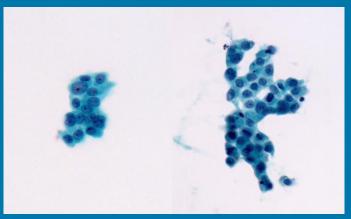
**IASLC** 

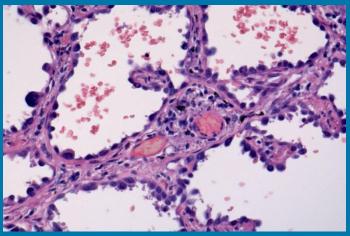
## Adenocarcinoma in situ (AIS)

pT1N0M0 StageIA

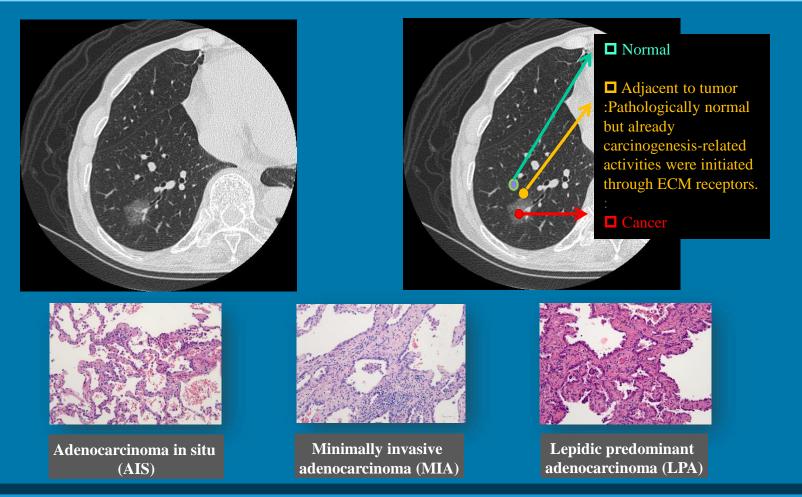








#### MS-based Proteomics on ESPLC



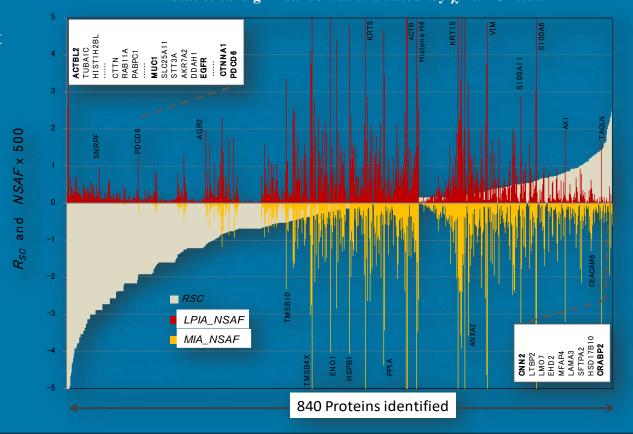
# Semi-Quantitative Pair-wise Comparison of Proteins Expressed between Sub-Groups

#### LPA vs MIA

LPA: Lepidic predominant adenocarcinoma

MIA: Minimally invasive adenocarcinoma

Protein ratio in log2,  $R_{SC}$ ; Normalized Spectral Abundance Factor, NSAF  $\rightarrow$  Statistical significance was evaluated by  $\chi^2$  or G- test.



### Protein Expression Patterns throughout Disease Stages

# **GGO Lung Cancer: Expression** variations of 840 proteins identified

• AIS: *n*=3

• MIA: *n*=3

• LPA: *n*=3

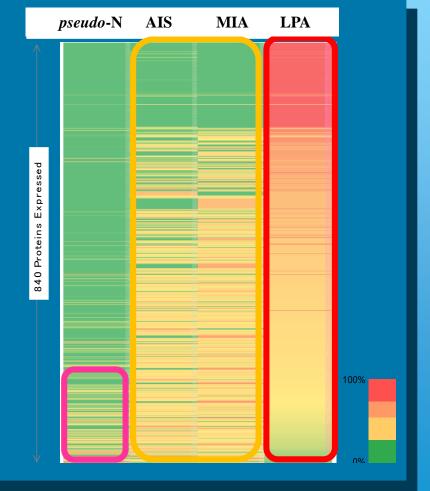
• Pseudo-Normal: n=3

AIS: Adenocarcinoma in situ

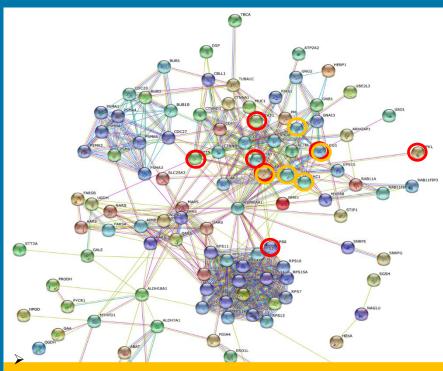
MIA: Minimally invasive adenocarcinoma (MIA)

LPA: Lepidic predominant adenocarcinoma

➤ 1. Therein, there seems to be a similarity between AIS and MIA but
➤ 2. LPA demonstrated a quite different protein expression pattern.



## STRING ver. 10 PPI Networks of LPA



O ErBb

O HIF-1

- >STRING PPI Networks extracted using significant 70 node proteins in LPA.
- ➤ Numerous advanced cancer related pathways were already activated, which include ErBb (Yellow circles) and HIF-1 (Red circles) Cancer Pathways.

# Summary of PPI Enrichment Analysis for Proteome DataSets of GGO-lung Adenocarcinomas

- ➤ AIS was rather associated with pathways of focal adhesion, adherence junction, tight junction and leukocyte transendothelial migration
- ➤ MIA had a strong association predominantly with pathways of **proteoglycans in** cancer and with PI3K-Akt.
- ➤ LPA was associated broadly with numerous tumor-progression pathways including ErbB, Ras, Rap1 and HIF-1 signalings.
- Surprisingly, it was indicated that **Pseudo-normal cells near tumors** seem to have already communication through **ECM-receptor interaction** resulting in activation of **pathways in cancer**.

## Early Detection for ESPLC

Lung cancer screening
Health check examination

Early stage peripheral lung cancer (ESPLC)

CT Findings:

GGO

GGO+solid

## Therapeutic Strategy for ESPLC

Previously demonstrated highly effectiveness for *ESCLC*Refferences
CR 93.8-78%
(1982-2004, Kato H, Cortese D, Monnier P, Furuse K)

# New multi centric trial for ESPLC by PDT suppoted by Japanese Government

Prof. Jitsuo Usuda, Department of Surgery, Nihon Medical University

## Adequate Therapy for Lung Cancer

Early detection of lung cancer by sputum cytology and CT screening.



Early localization of lung cancer by bronchoscope (BS), fluorescence BS and CT.

Possible molecular diagnosis and/or optical biopsy by OCT for definitive diagnosis of early stage lung cancer.



Non-invasive treatments, **PDT** for early stage lung cancer.

## Medical Expenses of PDT vs Surgery

PDT	DPC	760,000yen (\$ 8,444) 249, 430yen (\$ 2,772)
	PDT procedure	87,100 ( 967)
	Laserphyrin	387,200 (4,302)
	Bronchoscopy	25,000 ( 277)
Surgery		1,700,000yen (\$ 18,888)
	DPC	274,200yen (\$ 3,046)
	Surgical procedure	1,050,000 ( 11,666)
	Anesthesia, drugs	300,000 ( 3,333)

H.Kato et al: Analysis of the Cost-effectiveness of PDT in Early Stage Lung Cancer. Diagnostic and Therapeutic Endoscopy; 6,9-16,1999

## Effort Toward Lung Cancer Eradication

Smoking cessation Early detection Early localization Selection of therapy Early treatment

# Thank you for your attention